



Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary) Page 1 of 4	Application Number	10/727,022
	Filing Date	January 20, 2004
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2875
	Examiner Name	Unknown
	Attorney Case Number	59416US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
<input checked="" type="checkbox"/>	A1	US- 2001-001207	5/17/01	Shimizu et al.	
<input type="checkbox"/>	A2	US- 2001-0013668	8/16/01	Neavin et al.	
<input type="checkbox"/>	A3	US- 2002-0017651	2/14/02	Kato et al.	
<input type="checkbox"/>	A4	US- 2002-0043926	4/18/02	Takahashi et al.	
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<input type="checkbox"/>	A6	US- 2002-0063520	5/30/02	Yu et al.	
<input type="checkbox"/>	A7	US- 2002-0079837	6/27/02	Okazaki	
<input type="checkbox"/>	A8	US- 2002/0084748	7/4/02	Ayala et al.	
<input type="checkbox"/>	A9	US- 2002/0084749	7/4/02	Ayala et al.	
<input type="checkbox"/>	A10	US- 2002-0085601	7/04/02	Wang et al.	
<input type="checkbox"/>	A11	US- 2002-0121645	9/05/02	Yasukawa et al.	
<input type="checkbox"/>	A12	US- 2002-0140880	10/03/02	Weindorf et al.	
<input type="checkbox"/>	A13	US- 2002/0154406	10/24/02	Merrill et al.	
<input type="checkbox"/>	A14	US- 2002/0180351	12/5/02	McNulty et al.	
<input type="checkbox"/>	A15	US- 2003-0002272	1/02/03	Suehiro et al.	
<input type="checkbox"/>	A16	US- 2003-0038295	2/27/03	Koda	
<input type="checkbox"/>	A17	US- 2003/0217806	11/27/03	Tait et al.	
<input type="checkbox"/>	A18	US- 2004-0116033	06/17/04	Ouderkirk et al.	
<input type="checkbox"/>	A19	US- 2004-0145288	7/29/04	Ouderkirk et al.	
<input type="checkbox"/>	A20	US- 2004-0145289	7/29/04	Ouderkirk et al.	
<input type="checkbox"/>	A21	US- 2004-0145312	7/29/04	Ouderkirk et al.	
<input type="checkbox"/>	A22	US- 2004-0145895	7/29/04	Ouderkirk et al.	
<input type="checkbox"/>	A23	US- 2004-0145913	7/29/04	Ouderkirk et al.	
<input type="checkbox"/>	A24	US- 2004-0159900	8/19/04	Ouderkirk et al.	
<input type="checkbox"/>	A25	US- 2004-0149998	08/05/04	Henson et al.	
<input type="checkbox"/>	A26	US- 2004-0150991	8/05/04	Ouderkirk et al.	
<input type="checkbox"/>	A27	US- 2004-0150997	8/05/04	Ouderkirk et al.	
<input checked="" type="checkbox"/>	A28	US- 5,540,978	7/30/96	Schrenk	

*Examiner: 	Date Considered: 8/20/05
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 4	Application Number	10/727,072
	Filing Date	January 20, 2004
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2875
	Examiner Name	Unknown
	Attorney Case Number	59416US002

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		Doc. Number-(Kind Code if Known)			
10	A29	US- 5,540,987	7/30/96	Mudge et al.	
	A30	US- 5,568,316	10/22/96	Schrenk et al.	
	A31	US- 5,813,752	9/29/98	Singer et al.	
	A32	US- 5,813,753	9/29/98	Vriens et al.	
	A33	US- 5,831,375	11/03/98	Benson, Jr.	
	A34	US- 5,882,774	3/16/99	Jonza et al.	
	A35	US- 6,010,751	1/4/00	Shaw et al.	
	A36	US- 6,101,032	8/08/00	Wortman et al.	
	A37	US- 6,155,699	12/05/00	Miller et al.	
	A38	US- 6,172,810	1/9/01	Fleming et al.	
	A39	US- 6,186,649	2/13/01	Zou et al.	
	A40	US- 6,340,824	1/22/02	Komoto et al.	
	A41	US- 6,366,018	04/02/02	Garbuzov et al.	
	A42	US- 6,368,699	4/9/02	Gilbert et al.	
	A43	US- 6,373,188	4/16/02	Johnson et al.	
	A44	US- 6,404,125	6/11/02	Garbuzov et al.	
	A45	US- 6,486,997	11/26/02	Bruzzzone et al.	
	A46	US- 6,501,091	12/31/02	Bawendi et al.	
	A47	US- 6,504,301	1/07/03	Lowery	
	A48	US- 6,506,480	1/14/03	Liu et al.	
	A49	US- 6,515,785	02/04/03	Cobb, Jr. et al.	
	A50	US- 6,521,329	2/18/03	Aylward et al.	
	A51	US- 6,531,230	3/11/03	Weber et al.	
	A52	US- 6,534,158	3/18/03	Huang et al.	
	A53	US- 6,547,400	4/15/03	Yokoyama	
	A54	US- 6,578,421	6/17/03	Ishikawa et al.	
	A55	US- 6,601,962	8/05/03	Ehara et al.	
10	A56	US- 6,635,903	10/21/03	Kato et al.	

*Examiner: <i>Kevin Smith</i>	Date Considered: 8/20/15
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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 3 of 4	Application Number	10/727,072
	Filing Date	January 20, 2004
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2875
	Examiner Name	Unknown
	Attorney Case Number	59416US002

U.S. Patent Documents					
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		Doc. Number-(Kind Code if Known)			
	A57	US- 6,744,077	6/01/04	Trottier et al.	

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
<input checked="" type="checkbox"/>	B1	DE	199 51 656 A1	8/31/00			X
<input checked="" type="checkbox"/>	B2	EP	0733919 A2	9/25/96			
<input checked="" type="checkbox"/>	B3	EP	0795941 A1	9/17/97			
<input checked="" type="checkbox"/>	B4	EP	EP 1 119 058 A1	7/25/01			
<input checked="" type="checkbox"/>	B5	GB	GB 2 373 368 A	9/18/02			
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<input checked="" type="checkbox"/>	B8	WO	WO 97/48138	12/18/97			
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<input checked="" type="checkbox"/>	B10	WO	WO 99/60303	11/25/99			
<input checked="" type="checkbox"/>	B11	WO	WO 01/39286	5/31/01			
<input checked="" type="checkbox"/>	B12	WO	WO 01/75490	10/11/01			
<input checked="" type="checkbox"/>	B13	WO	WO 02/37568	5/10/02			
<input checked="" type="checkbox"/>	B14	WO	WO 03/029722	4/10/03			
<input checked="" type="checkbox"/>	B15	WO	WO 04/021461	3/11/04			
<input checked="" type="checkbox"/>	B16	JP	JP 11274572 English Abstract	10/08/99			
<input checked="" type="checkbox"/>	B17	JP	JP 2004031843 English Abstract	1/29/04			
<input checked="" type="checkbox"/>	B18						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
<input checked="" type="checkbox"/>	C1	US Application No. 60/407,426, Soules et al., "Coated LED With Improved Efficiency," filed August 30, 2002.	

*Examiner: <i>[Signature]</i>	Date Considered: 8/20/05
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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 4 of 4	Application Number	10/727,072
	Filing Date	January 20, 2004
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2875
	Examiner Name	Unknown
	Attorney Case Number	59416US002

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
<input checked="" type="checkbox"/>	C2	US Application No. 10/726244; Simbal, John J.; "Reflective Light Coupler"; filed 12/2/2003.	
<input checked="" type="checkbox"/>	C3	US Application No. 10/727220; Schultz, John C.; "Illumination Assembly"; filed 12/2/2003.	
<input checked="" type="checkbox"/>	C4	US Application No. 10/726225; Henson, Gordon D.; "Solid State Light Device"; filed 12/2/2003.	
<input checked="" type="checkbox"/>	C5	US Application No. 10/726248; Watson, James E.; "Multiple LED Source and Method for Assembling Same"; filed 12/2/2003.	
<input checked="" type="checkbox"/>	C6	US Application No. 10/739792; Ouderkirk, Andrew J.; "Display Including a Solid State Light Device and Method Using Same"; filed 12/18/2003.	
<input checked="" type="checkbox"/>	C7	US Application No. 10/726257; Aguirre, Francis M.; "LED Curing Apparatus and Method"; filed 12/2/2003.	
<input checked="" type="checkbox"/>	C8	Weber et al., "Giant Birefringent Optics in Multilayer Polymer Mirrors", Science, Vol.287, March 31, 2000, pp. 2451-2456.	
<input checked="" type="checkbox"/>	C9	Mueller, "White Light Emitting Diodes," Intertech '03, 22 pages.	
<input checked="" type="checkbox"/>	C10	Haaren et al., "Recent Advances in Displays for Portable Products", ASET International Display Forum on Low Power Displays, 21 July 2000, Tokyo (Japan), pp. 1-6, XP-002289713.	

*Examiner: <i>Kris Gauth</i>	Date Considered: 8/20/05
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Information Disclosure Statement)

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT Use as many sheets as necessary) Page 1 of 1	Application Number	10/727,072
	Filing Date	December 2, 2003
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2879
	Examiner Name	Quarterman, Kevin J.
	Attorney Case Number	59416US002



U.S. Patent Documents					
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		Doc. Number-(Kind Code if Known)			
<i>ll</i>	A1	US- 6,652,996	11/25/2003	Steklenski et al.	
<i>ll</i>	A2	US- 6,717,348	04/06/2004	Takahashi	

Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (If known)			

OTHER DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
<i>ll</i>	C1	Inquiry Documents, 54 pages.		

*Examiner: <i>ll</i>	Date Considered: 8/20/15
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